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| Substitute for form 1449B/PTO | | | **Complete if Known** | |
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| **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** | | | Application Number | 09/944,788 |
| | | | Filing Date | August 31, 2001 |
| | | | First Named Inventor | Alfonso Valdes |
| | | | Art Unit | 3621 |
| *(Use as many sheets as necessary)* | | | Examiner Name | Sherr, Cristina O. |
| Sheet | 1 | of 2 | Attorney Docket Number | 10454-022001 (SRI/4190-4) |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials * | Cite No.[1] | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T[2] |
|---|---|---|---|
| | C52 | SRI INTERNATIONAL, INC.'S RESPONSES TO DEFENDANTS ISS-GA'S SECOND SET OF INTEROGATORIES (NOS. 19-20) AND SRI'S THIRD SUPPLEMENTAL RESPONSE TO ISS-GA'S INTERROGATORY NO. 17, SRI International, Inc., a California Corporation v. Internet Security Systems, Inc., a Delaware Corporation, Internet Security Systems, Inc., a Georgia Corporation and Symantec Corporation a Delaware Corporation, pp. 1-54, Certificate of Service dated December 15, 2005 | |
| | C53 | SRI INTERNATIONAL, INC.'S RESPONSE TO SYMANTEC'S INVALIDITY AND INEQUITABLE CONDUCT CONTENTIONS, SRI International, Inc., a California Corporation v. Internet Security Systems, Inc., a Delaware Corporation, Internet Security Systems, Inc., a Georgia Corporation and Symantec Corporation a Delaware Corporation, pp.1-50, Certificate of Service dated December 15, 2005 | |
| | C54 | SRI INTERNATIONAL, INC.'S SUPPLEMENTAL RESPONSE TO INTERROGATORIES NO 12. AND NO. 15, SRI International, Inc., a California Corporation v. Internet Security Systems, Inc. a Delaware Corporation, Internet Security Systems, Inc. a Georgia Corporation and Symantec Corporation a Delaware Corporation, pp. 1-6, Certificate of Service date December 15, 2005 | |
| | C55 | SRI INTERNATIONAL, INC.'S 'AMENDED' RESPONSE TO SYMANTEC'S INVALIDITY AND INEQUITABLE CONDUCT CONTENTIONS, SRI International, Inc., a California Corporation v. Internet Security Systems, Inc., a Delaware Corporation, Internet Security Systems, Inc., a Georgia Corporation and Symantec Corporation a Delaware Corporation, pp.1-51, Certificate of Service date December 16, 2005 | |
| | C56 | S.S. CHEN et al, GrIDS – A Graph Based Intrusion Detection System for Large Networks, 19th National Information Security Systems Conference, 1996 | |
| | C57 | L.T. HEBERLEIN, et al., Internetwork Security Monitor, Proc. 15th National Computer Security Conference, October 13-16 1992, pp. 262-271 | |
| | C58 | B. MUKHERJEE et al., Network Intrusion Detection, IEEE Network 8(3), pp. 26-41, May/June 1994 | |
| | C59 | B. GLEICHAUF AND D. TEAL, NetRanger High-level Overview Version 1.1, WheelGroup Corp., November 1996 | |

| Examiner Signature | | Date Considered | |
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| | C60 | S.R. SNAPP, et al., A System for Distributed Intrusion Detection, COMPCON Spring'91, Digest of Papers, San Francisco, CA, 25 February -- 1 March 1991, pp. 170-176 | |
| | C61 | JAMES BRENTANO et al., An Architecture for a Distributed Intrusion Detection System, Proc. 14th Department of Energy Computer Security Group Conference, May 7-9 1991, pp 17.25-17.45. | |
| | C62 | L.T. HEBERLEIN et al., Towards Detecting Intrusions in a Networked Environment, Proc. 14th Department of Energy Computer Security Group Conference, pp. 17.47-17.65, May 7-9 1991 | |
| | C63 | L.T. HEBERLEIN , Towards Detecting Intrusions in a Networked Environment, Technical Report CSE-91-23, Division of Computer Science, UC Davis, June 1991 | |
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| | C65 | RANUM et al., Implementing a Generalized Tool for Network Monitoring, Proc. 11th Systems Administration Conference (LISA'97), San Diego, CA, October 1997 | |
| | C66 | STEVEN SNAPP et al., Intrusion Detection Systems (IDS): A Survey of Existing Systems and a Proposed Distributed IDS Architecture, CSE-91-7, allegedly dated February 1991 | |
| | C67 | L.T. HEBERLEIN et al., Network Attacks and an Ethernet-based Network Security Monitor, Proc. 13th Department of Energy Computer Security Group Conference, pp. 14.1-14.13, May 8-10 1990 | |
| | C68 | RealSecure 1.1: User Guide and Reference Manual, 1997 | |
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